

**DOCTORAL COMMITMENT AGREEMENT**

Commitment agreement between the doctoral candidate, the doctoral thesis supervisor and, if applicable, the co-supervisor and/or the tutor

Details of the doctoral candidate

DNI/NIE/passport	
Surname(s), first name	
Doctoral programme	
Industrial Doctorates Plan (*)	<input type="checkbox"/> YES. (Choose one) <input type="checkbox"/> Industrial Doctorates Plan Government of Catalonia <input type="checkbox"/> Industrial doctorate Other calls <input type="checkbox"/> NO

(*) **N.B.: Industrial Doctorates:** In addition to this form, fill in and sign the document "Consent for the transfer of personal data within the framework of the call for the Industrial Doctorates Plan".

_____, _____ 20__

Details of the supervisor and co-supervisor (in the case of co-supervision)

UPC thesis supervisor			
Internal to the programme	<input type="checkbox"/> YES <input type="checkbox"/> NO	Proven research experience	<input type="checkbox"/> YES <input type="checkbox"/> NO
UPC thesis co-supervisor (in the case of co-supervision)			
Internal to the programme	<input type="checkbox"/> YES <input type="checkbox"/> NO	Proven research experience	<input type="checkbox"/> YES <input type="checkbox"/> NO

Details of the supervisor and co-supervisor (in the case of co-supervision) external to the UPC

DNI/NIE/passport	First name and surname(s)	Nationality
University awarding his or her doctoral degree	Year	
Affiliation: university and department, institute, centre or company		
Postal address (street name, number, postcode and city)		
E-mail address	Tel. no.	
Proven research experience	<input type="checkbox"/> YES <input type="checkbox"/> NO	

DNI/NIE/passport	First name and surname(s)	Nationality
University awarding his or her doctoral degree	Year	
Affiliation: university and department, institute, centre or company		
Postal address (street name, number, postcode and city)		
E-mail address	Tel. no.	
Proven research experience	<input type="checkbox"/> YES <input type="checkbox"/> NO	

Details of the tutor (linked to the doctoral programme)

Surname(s), first name
The tutor must hold a doctoral degree, be linked to the promoting unit that organises the programme and have proven research experience. A tutor must be appointed only when it has not been possible to appoint a supervisor or co-supervisor from the UPC. Briefly state why it was not possible to appoint a supervisor or co-supervisor from the UPC.

Doctoral commitment agreement

The completion of a doctoral degree is an academic and professional activity that enables the doctoral candidate to acquire high-level scientific competencies and fosters critical thought, the scientific method and technological innovation, as well as the acquisition of generic competencies that are valued in positions of responsibility in all social and economic sectors. The doctoral candidate will have to complete a research project that is original and innovative, formulate a personal plan for ongoing training to support the research plan, and develop a career plan.

This agreement defines the respective rights and obligations and mutual commitments of the signatories, the fulfilment of which ensures that the aforementioned aims are met during the writing of the doctoral thesis.

The agreement must be formalised and signed when the academic committee of the doctoral programme assigns the role of supervising the doctoral candidate to the doctoral thesis supervisor and, in the case of co-supervision, the co-supervisor. The agreement has been approved by the director of the Doctoral School.

For all of the above, this doctoral commitment agreement is signed by:

- The doctoral candidate
- The thesis supervisor who will oversee the research project
- In the case of co-supervision, the co-supervisor who guides the research plan with the supervisor
- The academic coordinator of the doctoral programme
- The appointed tutor, if applicable
- The director of the Doctoral School

1. Mutual collaboration

The doctoral candidate, the thesis supervisor and, in the case of co-supervision, the co-supervisor undertake to establish a relationship of mutual collaboration that will enable the doctoral candidate to formulate the thesis proposal and to write and defend the thesis in accordance with the procedures and deadlines established in the regulations that apply.

2. Obligations and time commitment of the thesis supervisor

The thesis supervisor and, in the case of co-supervision, the co-supervisor act as mentors and guides to students in their learning process. They must define the initial project and ensure that it is original, innovative and educational. They must also strive to ensure that the project can be completed in the time periods established in Royal Decree 99/2011, of 28 January, which regulates official doctoral studies, and that students have access to the material and technical means they need.

The thesis supervisor and, in the case of co-supervision, the co-supervisor agree to hold regular working meetings with the doctoral candidate to provide guidance and analyse progress made on the research plan. They shall seek to ensure that the doctoral candidate takes greater initiative and gains autonomy as the project progresses (Appendix I).

The rights and obligations of the UPC thesis supervisor are regulated in the Internal Regulations of the Doctoral School (CG/2018/07/36 of 24 October 2018). In accordance with the UPC regulations in force, the thesis supervisor and, in the case of co-supervision, the co-supervisor are allotted time for the purposes set out above.

3. Obligations and time commitment of the doctoral candidate

The doctoral candidate undertakes to complete his/her doctoral studies in accordance with the regulations that apply and the obligations stemming from this agreement. He/she shall regularly report to the thesis supervisor and, in the case of co-supervision, the co-supervisor regarding progress on the thesis, the results obtained, and any problems that may arise, and shall take any feedback into consideration.

The doctoral candidate is a ☐ **full-time** / ☐ **part-time student**, in accordance with the provisions of the regulations that apply. Doctoral candidates are obliged to inform the thesis supervisor, the co-supervisor, in the case of co-supervision, and the coordinator of any applications for extensions or potential long- or short-term sick leave that may arise during the time that they are on the programme.

4. Obligations and time commitment of the academic committee

The academic committee of the doctoral programme fulfils the functions established in the Internal Regulations of the Doctoral School of the UPC (CG/2018/07/36 of 24 October 2018) and the Academic Regulations for Doctoral Studies (CG/2018/10/24 of 1 July 2016). These functions include assessing the research plan and the doctoral student activity

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report every year in the assessment reports drawn up by the supervisor and, in the case of co-supervision, the co-supervisor. Therefore, the academic committee of the doctoral programme is the body responsible for the progress of the research. Its functions also include admitting doctoral candidates to the programme and appointing the thesis supervisor and, if applicable, the co-supervisor and the tutor.

The academic committee of the programme draws up, approves and makes public the calendar and specific regulations that include the criteria for the assessment, defence and annual monitoring of the research plan.

5. Confidentiality

The doctoral candidate undertakes to keep secret any data or information that may be provided or disclosed to him/her orally, in writing, in graphic form or by any other means by the thesis supervisors, tutor or any other member of the research team to which he/she belongs; not to disclose, communicate, transfer or divulge such data or information to any third party; and to use any information obtained solely for the purpose of completing his/her doctoral thesis.

The doctoral candidate undertakes not to disclose any information concerning the research project or group in which he/she is participating that is identified as confidential without first obtaining the express written authorisation of the thesis supervisor, co-supervisor and/or tutor. The doctoral candidate agrees to sign any non-disclosure agreements as required by the thesis supervisors, research project supervisors or tutor.

Undertakings regarding confidentiality and secrecy shall continue in force and remain binding even after the administrative and/or employment relationship between the doctoral candidate and the UPC has ended. The same applies to students linked to legal entities other than the UPC.

6. Intellectual and industrial property rights

The doctoral candidate has the right to be recognised as the holder of any intellectual and/or industrial property rights to which he/she is entitled in accordance with legislation in force; to be listed as a co-author of any papers or articles in which research to which he/she has made a significant contribution is discussed; and to be identified as the first author if this is justified by his/her contribution.

The affiliation of the interested party to the Universitat Politècnica de Catalunya must be recorded in all scientific output and publications stemming from the doctoral thesis, if there are any, in accordance with the University's regulations on institutional signature and affiliation.

The doctoral candidate is entitled to exercise the intellectual property rights arising from his/her research training in accordance with his/her contribution and pursuant to legal provisions in force. These rights are independent of, compatible with and additional to any others arising from the research conducted, without prejudice to any constraints arising as a result of the collective character of work when the doctoral candidate participates in or is linked to a collective research project.

The doctoral candidate's industrial property rights in respect of the research results will be determined by the provisions of the legislation in force for university patents and the regulations approved by the UPC, and, in the case of students linked to legal entities other than the UPC, by the respective regulations of these entities. In any event, the amounts that may be received for the use or transfer of the aforementioned rights may in no case constitute a salary.

7. Conflict resolution

Should there be a conflict or the non-fulfilment of the commitments in this agreement, whenever possible the doctoral candidate, the supervisor and, if applicable, the co-supervisor and the tutor must try to solve the problem in an amicable manner. If this is not possible, the parties must inform the coordinator of the corresponding doctoral programme, who shall act as a mediator. Any disputes that cannot be settled through the mediation of the coordinator and the academic committee of the programme shall be referred to the Standing Committee of the Doctoral School, which must resolve the conflict and issue a resolution. An appeal against this resolution may be lodged with the rector in the periods stated in Law 39/2015, of 1 October, on the Common Administrative Procedures of the Public Administrations.

If the doctoral degree is completed within the framework of an agreement with another institution, the parties shall abide by the specific provisions contained in the collaboration agreement, which the signatories to this document must be familiar with.

8. Ethical commitment

All the signatories undertake to act ethically and to observe the ethical principles approved by the UPC and the ethical norms outlined in various national, sectorial and institutional codes of ethics.

9. Term

This commitment agreement will come into force on the date on which it is signed by the parties and will continue in force while the doctoral candidate has a relationship with the UPC, with the exception of the provisions concerning

obligations that survive indefinitely. This notwithstanding, it will be invalidated as regards any contradiction that arises between it and the academic regulations for doctoral studies.

Signatures of the parties

<p>-----</p> <p>Full name: Doctoral candidate</p>	<p>-----</p> <p>Full name: Thesis supervisor</p>	<p>-----</p> <p>Full name: Thesis co-supervisor</p>	<p>-----</p> <p>Full name: Thesis tutor</p>
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If there is a change of supervisor, co-supervisor or tutor please check the box: ☐
Attach a copy of the previous signed CDDT-CDDTE form.

Comments:

Appointment of the academic committee of the doctoral programme and application for authorisation for the appointment of an external supervisor and/or co-supervisor

The academic committee of the doctoral programme requests that the Doctoral School's Standing Committee propose and authorise the appointment of an external thesis supervisor, co-supervisor and tutor, if applicable, in accordance with Article 7.1 of the Academic Regulations for Doctoral Studies, approved on 1 July 2016.

Approval of the head of the academic committee
Full name:
Place and date:

Authorisation by the Standing Committee of the Doctoral School of the appointment of an external supervisor or co-supervisor and approval of the director of the Doctoral School of this appointment

The Standing Committee of the Doctoral School authorises the appointment proposed by the academic committee of the doctoral programme in accordance with Article 7.1 of the Academic Regulations for Doctoral Studies, approved on 1 July 2016.

Chair of the Standing Committee of the Doctoral School
Place and date:

Appendix I – Opcional Academic Comitee of the doctoral programme

Commitment between the doctoral candidate and the supervisor and co-supervisor (in the case of co-supervision) for monitoring and developing the research plan

Optional document to be filled in when stipulated by the academic committee of the doctoral programme.

1. Agreement on the number and frequency of meetings between the doctoral candidate and the doctoral thesis supervisor and, if applicable, co-supervisor .

 Fill in

2. Specialised and cross-disciplinary training.

 Fill in

3. System for accessing facilities, infrastructure and materials related to the research plan.

 Fill in